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PTO/SB/05 (11-00)
Approved for use through 10/31/2002 OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 219.40608X00
First Inventor Kevin B. STANTON
Title See 1 in Addendum
Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 20]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: /

Prior application information

Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 020457 or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

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Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Frederick D. Bailey

Registration No. (Attorney/Agent)

42,282

Signature

Frederick D. Bailey

Date

December 19, 2001

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Addendum

1. METHOD AND APPARATUS FOR PROVIDING DEVICE-TO-DEVICE CONNECTIVITY USING SHARED INFINIBAND NIC DEVICE

10034066-1-1001

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid See Forms PTO/SB/09-12
See 37 C.F.R. §§ 1.27 and 1.28

Complete if Known

Application Number	New Application
Filing Date	December 19, 2001
First Named Inventor	Kevin B. STANTON
Examiner Name	
Group / Art Unit	
Attorney Docket No.	219.40608X00

TOTAL AMOUNT OF PAYMENT (\$ 884.00

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																									
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to</p> <p>Deposit Account Number <u>01-2135</u></p> <p>Deposit Account Name <u>ATS&K, LLP</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105 130 205 65</td><td></td><td>Surcharge - late filing fee or oath</td><td>0.00</td></tr> <tr><td>127 50 227 25</td><td></td><td>Surcharge - late provisional filing fee or cover sheet</td><td>0.00</td></tr> <tr><td>139 130 139 130</td><td></td><td>Non-English specification</td><td>0.00</td></tr> <tr><td>147 2,520 147 2,520</td><td></td><td>For filing a request for reexamination</td><td>0.00</td></tr> <tr><td>112 920* 112 920*</td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td>0.00</td></tr> <tr><td>113 1,840* 113 1,840*</td><td></td><td>Requesting publication of SIR after Examiner action</td><td>0.00</td></tr> <tr><td>115 110 215 55</td><td></td><td>Extension for reply within first month</td><td>0.00</td></tr> <tr><td>116 380 216 190</td><td></td><td>Extension for reply within second month</td><td>0.00</td></tr> <tr><td>117 870 217 435</td><td></td><td>Extension for reply within third month</td><td>0.00</td></tr> <tr><td>118 1,360 218 680</td><td></td><td>Extension for reply within fourth month</td><td>0.00</td></tr> <tr><td>128 1,850 228 925</td><td></td><td>Extension for reply within fifth month</td><td>0.00</td></tr> <tr><td>119 300 219 150</td><td></td><td>Notice of Appeal</td><td>0.00</td></tr> <tr><td>120 300 220 150</td><td></td><td>Filing a brief in support of an appeal</td><td>0.00</td></tr> <tr><td>121 260 221 130</td><td></td><td>Request for oral hearing</td><td>0.00</td></tr> <tr><td>138 1,510 138 1,510</td><td></td><td>Petition to institute a public use proceeding</td><td>0.00</td></tr> <tr><td>140 110 240 55</td><td></td><td>Petition to revive - unavoidable</td><td>0.00</td></tr> <tr><td>141 1,210 241 605</td><td></td><td>Petition to revive - unintentional</td><td>0.00</td></tr> <tr><td>142 1,210 242 605</td><td></td><td>Utility issue fee (or reissue)</td><td>0.00</td></tr> <tr><td>143 430 243 215</td><td></td><td>Design issue fee</td><td>0.00</td></tr> <tr><td>144 580 244 290</td><td></td><td>Plant issue fee</td><td>0.00</td></tr> <tr><td>122 130 122 130</td><td></td><td>Petitions to the Commissioner</td><td>0.00</td></tr> <tr><td>123 50 123 50</td><td></td><td>Petitions related to provisional applications</td><td>0.00</td></tr> <tr><td>126 240 126 240</td><td></td><td>Submission of Information Disclosure Stmt</td><td>0.00</td></tr> <tr><td>581 40 581 40</td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td>0.00</td></tr> <tr><td>146 690 246 345</td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td>0.00</td></tr> <tr><td>149 690 249 345</td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td>0.00</td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td>0.00</td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td>0.00</td></tr> <tr> <td colspan="3">SUBTOTAL (3)</td> <td>(\$ 0.00</td> </tr> </tbody> </table>		Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	105 130 205 65		Surcharge - late filing fee or oath	0.00	127 50 227 25		Surcharge - late provisional filing fee or cover sheet	0.00	139 130 139 130		Non-English specification	0.00	147 2,520 147 2,520		For filing a request for reexamination	0.00	112 920* 112 920*		Requesting publication of SIR prior to Examiner action	0.00	113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	0.00	115 110 215 55		Extension for reply within first month	0.00	116 380 216 190		Extension for reply within second month	0.00	117 870 217 435		Extension for reply within third month	0.00	118 1,360 218 680		Extension for reply within fourth month	0.00	128 1,850 228 925		Extension for reply within fifth month	0.00	119 300 219 150		Notice of Appeal	0.00	120 300 220 150		Filing a brief in support of an appeal	0.00	121 260 221 130		Request for oral hearing	0.00	138 1,510 138 1,510		Petition to institute a public use proceeding	0.00	140 110 240 55		Petition to revive - unavoidable	0.00	141 1,210 241 605		Petition to revive - unintentional	0.00	142 1,210 242 605		Utility issue fee (or reissue)	0.00	143 430 243 215		Design issue fee	0.00	144 580 244 290		Plant issue fee	0.00	122 130 122 130		Petitions to the Commissioner	0.00	123 50 123 50		Petitions related to provisional applications	0.00	126 240 126 240		Submission of Information Disclosure Stmt	0.00	581 40 581 40		Recording each patent assignment per property (times number of properties)	0.00	146 690 246 345		Filing a submission after final rejection (37 CFR § 1.129(a))	0.00	149 690 249 345		For each additional invention to be examined (37 CFR § 1.129(b))	0.00	Other fee (specify) _____			0.00	Other fee (specify) _____			0.00	SUBTOTAL (3)			(\$ 0.00
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Frederick D. Bailey	Registration No (Attorney/Agent)	42,282
Signature		Telephone	703-312-6689
		Date	December 19, 2001

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